



# CCM 401(k) Audit Process

Gather  
Existing  
Plan  
Information

- Kickoff meeting / phone call
- Gather documents

Initial Data  
Review

- Determine additional data needs

Gather  
Additional  
Information

- Phone call with additional questions

Analyze &  
Propose

- Generate CCM 401(k) Audit & Plan Exam documents

Present  
Results &  
Proposal

- In-person meeting